

Please type a plus (+) sign inside this box -

+

PTO/SB/05 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

(Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.)

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. 2910-002	
	First Inventor or Application Identifier. Rex W. Halverson	
	Title	Umbrella Mounted Chandelier
	Express Mail Label No.	EV238370669US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 11]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention

- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC) 113 [Total Sheets 2]

4. ☒ Oath or Declaration [Total Pages 1]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement Verifying identity

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee) ☐ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____


Prior application information: Examiner _____ Group/Art Unit _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

(insert Customer No. or Attach bar code label here)

NAME	ATTN: Michael H. Jester				
	A PROFESSIONAL LAW CORPORATION				
ADDRESS	505 D Grand Caribe Causeway				
CITY	CORONADO	STATE	CALIFORNIA	ZIP CODE	92118-3303
COUNTRY	USA	TELEPHONE	(619) 628-4598	FAX	(619) 628-4796

Name (Print/Type)	Michael H. Jester	Registration No. (Attorney/Agent)	28,022
Signature		Date	Sept 10, 2003

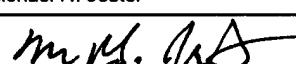
Please type a plus (+) sign inside this box - ☐ +

PTO/SB/17 REV 1 (11/00)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="margin: 0;"><i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28</i></p>		<p style="margin: 0;"><i>Complete If Known</i></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">To be Assigned</td> </tr> <tr> <td>Filing Date</td> <td>Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Rex W. Halverson</td> </tr> <tr> <td>Examiner Name</td> <td>To be Assigned</td> </tr> <tr> <td>Group / Art Unit</td> <td>To be Assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>2910-002</td> </tr> </table>		Application Number	To be Assigned	Filing Date	Herewith	First Named Inventor	Rex W. Halverson	Examiner Name	To be Assigned	Group / Art Unit	To be Assigned	Attorney Docket No.	2910-002																																																																																																																																																						
Application Number	To be Assigned																																																																																																																																																																				
Filing Date	Herewith																																																																																																																																																																				
First Named Inventor	Rex W. Halverson																																																																																																																																																																				
Examiner Name	To be Assigned																																																																																																																																																																				
Group / Art Unit	To be Assigned																																																																																																																																																																				
Attorney Docket No.	2910-002																																																																																																																																																																				
TOTAL AMOUNT OF PAYMENT \$ 375.00																																																																																																																																																																					
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																			
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: <u>50 0626</u> Deposit Account Name: <u>Michael H. Jester</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity (\$)</th> <th>Small Entity Code</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for response within first month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for response within second month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for response within third month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for response within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for response within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____ Other fee (specify) _____</p> <p style="text-align: right;">SUBTOTAL (3) (\$)</p> <p><i>*Reduced by Basic Filing Fee Paid</i></p>		Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	147	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for response within first month		1252	410	2252	205	Extension for response within second month		1253	930	2253	465	Extension for response within third month		1254	1,450	2254	725	Extension for response within fourth month		1255	1,970	2255	985	Extension for response within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive unavoidably abandoned application		1453	1,300	2453	650	Petition to revive unintentionally abandoned application		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Petitions related to provisional applications		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid																																																																																																																																																																
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																	
1052	50	2052	25	Surcharge - late provisional filing or cover sheet																																																																																																																																																																	
1053	130	1053	130	Non-English specification																																																																																																																																																																	
1812	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																	
1251	110	2251	55	Extension for response within first month																																																																																																																																																																	
1252	410	2252	205	Extension for response within second month																																																																																																																																																																	
1253	930	2253	465	Extension for response within third month																																																																																																																																																																	
1254	1,450	2254	725	Extension for response within fourth month																																																																																																																																																																	
1255	1,970	2255	985	Extension for response within fifth month																																																																																																																																																																	
1401	320	2401	160	Notice of Appeal																																																																																																																																																																	
1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																	
1403	280	2403	140	Request for oral hearing																																																																																																																																																																	
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																	
1452	110	2452	55	Petition to revive unavoidably abandoned application																																																																																																																																																																	
1453	1,300	2453	650	Petition to revive unintentionally abandoned application																																																																																																																																																																	
1501	1,300	2501	650	Utility issue fee (or reissue)																																																																																																																																																																	
1502	470	2502	235	Design issue fee																																																																																																																																																																	
1503	630	2503	315	Plant issue fee																																																																																																																																																																	
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																	
1807	50	1807	50	Petitions related to provisional applications																																																																																																																																																																	
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																	
FEE CALCULATION																																																																																																																																																																					
<p>1. BASIC FILING FEE</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity (\$)</th> <th>Small Entity Code</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1001</td><td>750</td><td>2001</td><td>375</td><td>Utility filing fee</td><td>375.00</td></tr> <tr><td>1002</td><td>330</td><td>2002</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>1003</td><td>520</td><td>2003</td><td>260</td><td>Plant filing fee</td><td></td></tr> <tr><td>1004</td><td>750</td><td>2004</td><td>375</td><td>Reissue filing fee</td><td></td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (1)</td><td>(\$) 375.00</td></tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <p>Claims below Fee Paid Total Claims 20 - 20** = x = Independent Claims 3 - 3** = x = Multiple Dependent Claims = x = ** or number previously paid, if greater; For Reissues, see below</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity (\$)</th> <th>Small Entity Code</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>1201</td><td>84</td><td>2201</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>1203</td><td>280</td><td>2203</td><td>140</td><td>Multiple dependent claim</td><td></td></tr> <tr><td>1204</td><td>84</td><td>2204</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (2)</td><td>(\$)</td></tr> </tbody> </table>		Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid	1001	750	2001	375	Utility filing fee	375.00	1002	330	2002	165	Design filing fee		1003	520	2003	260	Plant filing fee		1004	750	2004	375	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1)					(\$) 375.00	Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid	1202	18	2202	9	Claims in excess of 20		1201	84	2201	42	Independent claims in excess of 3		1203	280	2203	140	Multiple dependent claim		1204	84	2204	42	** Reissue independent claims over original patent		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$)																																																																																
Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid																																																																																																																																																																
1001	750	2001	375	Utility filing fee	375.00																																																																																																																																																																
1002	330	2002	165	Design filing fee																																																																																																																																																																	
1003	520	2003	260	Plant filing fee																																																																																																																																																																	
1004	750	2004	375	Reissue filing fee																																																																																																																																																																	
1005	160	2005	80	Provisional filing fee																																																																																																																																																																	
SUBTOTAL (1)					(\$) 375.00																																																																																																																																																																
Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid																																																																																																																																																																
1202	18	2202	9	Claims in excess of 20																																																																																																																																																																	
1201	84	2201	42	Independent claims in excess of 3																																																																																																																																																																	
1203	280	2203	140	Multiple dependent claim																																																																																																																																																																	
1204	84	2204	42	** Reissue independent claims over original patent																																																																																																																																																																	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																	
SUBTOTAL (2)					(\$)																																																																																																																																																																
SUBMITTED BY		COMPLETE (if applicable)																																																																																																																																																																			
Typed or Printed Name Michael H. Jester		Reg. Number 28,022																																																																																																																																																																			
Signature 		Date 9/10/03																																																																																																																																																																			
		Deposit Account 50 0626 User ID																																																																																																																																																																			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)	
)	
Rex W. Halverson et al.)	Examiner: (To be Assigned)
)	
Serial No.: To be Assigned)	Group Art Unit: (To be Assigned)
)	
Filed: Herewith)	
)	
For: <i>Umbrella Mounted Chandelier</i>)	

CERTIFICATE OF MAILING UNDER 37 CFR §1.10

BOX PATENT APPLICATIONS
Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

EXPRESS MAIL RECEIPT NO. EV238370669US

I hereby certify that the enclosed patent application and support documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, and are addressed to BOX PATENT APPLICATION, Honorable Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

September 10, 2003
(Date of Deposit)

By: *Sherre Anne Treat*
(Sherre Anne Treat)